

FORM NO. IV

FORM OF APPLICATION FOR ELIGIBILITY UNDER THE 2013 SCHEME OF INCENTIVES TO BE MADE BY AN EXISTING UNIT.

(Please give the information on a separate sheet duly signed if the space provided is not sufficient)

SECTION 'A'

		Details of the existing unit.	Details of the proposed New unit / Near New Unit / Expansion / Diversification Unit.
1.	Name of the applicant / industrial unit. (Existing unit)- a) Office address and telephone number. b) Factory address and telephone number. i) Village ii) Post office iii) Taluka & District iv) Plot No. MIDC Area / Others. c) Full particulars about the others Divisions / Branches / Depots in India. i) In Maharashtra. ii) Outside Maharashtra.		
2.	Constitution and date of establishment of the applicant unit.- (Proprietary / Partnership / Private Limited Company / Public Limited Company / Co.op. / Trust)		
3.	Name and full postal residential address/es of Proprietary/Partners/ Directors / Members of the Managing Committee / Trustees.		
4.	Type of Industry & Products for which registration obtained – (Please give products under broad heads with reference to classification as per Indl. Licence/ DGTD Regn./ SSI Registration etc.)		

5.	<p>a) Details (with date) of previous applications, if any filled for eligibility under the Earlier Scheme of Incentive (if no application is at all filed it should be so mentioned)</p> <p>Date of application : Date of filing : Scheme (The 1969 /1973/1976)</p> <p>b) If the unit already holds an Eligibility Certificate, under the Earlier Scheme, give the following details.</p> <p>i) Project ii) Product(s) iii) Location iv) Eligibility Certificate No. and Date. v) Period of validity vi) Scheme : (The 1964/1969/1973/1976/1979/1983/1988/1993/2001/2007)</p>	From To	
6.	<p>Dates on which Effective Steps are taken / completed.</p> <p>(If not taken / completed, please indicated accordingly)</p> <p>i) Possession of Land. ii) Permission for Non-agriculture use, if the location is outside M.I.D.C. Area. iii) State/ Central Government Registration or Licence etc. (SSI Registration / DGTD Registration/ Letter of Intent / Licence/ Others)</p> <p>a) Registering Authority. b) Date of Registration. c) Registration / Licence No. d) Items for which registered / licenced. e) Annual capacity for which registered / licenced. f) Location for which Registration / Licence obtained.</p>		

	<p>iv) C.G. Clearance/ Import Licence.</p> <p>v) Acquisition of 10 % or Fixed Assets of the project cost.</p> <p>vi) Expenditure incurred on fixed assets @ 25 % of the project cost.</p>		
7.	<p>Date of /Expected date of commencement of production/ completion of expansion/ diversification project. (In case of expansion / diversification)</p>		
8.	<p>Registration under the Sales Tax Acts.</p> <p>i) Registration Certificate number under the Bombay Sales Tax Act, 1959/ with date and date of effect.</p> <p>Registration No. Date Date of Effect</p> <p>ii) Registration Certificate Number under the Central Sales Tax Act, 1956 with date and date of effect. (Details in respect of all registrations to be furnished.)</p> <p>Registration No. Date Date of Effect</p> <p>iii) Recognition number under the Bombay Sales Tax Act, 1959 / with date and date of effect.</p> <p>Registration No. Date Date of Effect</p> <p>iv) a) Whether Registration obtained as a new unit or a transferee / shifting. b) Earlier Registration number in case of transferee/ shifting.</p>		

9.	The Accounting year followed proposed to be followed by the application.			
10.	Production with reference to license issued of the existing unit in value and quantity for the immediately proceeding 3 accounting years. i) Licensed capacity ii) Installed capacity iii) Capacity utilised in terms of actual production in value of quantity for each product.	Accounting Year 2014 -----	Accounting Year 2014 -----	Accounting Year 2014 -----
11.	Total turnover of sales of the existing unit with sales tax paid (if any) for the immediately proceeding 3 accounting years. i) Total Turnover of Sales ii) Taxes payable and paid under the Bombay Sales Tax Act, 1959. iii) Taxes payable and paid under the Central Sales Tax Act, 1956.	Accounting Year 2014 -----	Accounting Year 2014 -----	Accounting Year 2014 -----
OTHER DETAILS				
12.	Average number of workers employed / proposed to be employed. Supervisory –a) Technical b) Non-Technical Workers -- a) Skilled b) Un-skilled			
13.	Electric power requirement in K.W. of Existing unit / proposed unit.			
14.	Source of Water Supply / Water requirement.			

SECTION-B (FOR NEW UNIT/ EXPANSION/ DIVERSIFICATION ONLY) TO BE FILLED IN ONLY WHEN THE PORPOSED UNIT IS NEW UNIT / EXPANSION/ DIVERSIFICATION OF THE EXISTING UNIT.		
	Cost of the project. a) Land b) Bldg. c) Plant & Mach. d) Technical know how fees/ engineering etc. e) Other assets. f) Preliminary and Capital issue expenses. g) Pre Operative expenses. h) Provision for contingencies. i) Margin for working capital. <div style="text-align: right;">TOTAL</div>	Of the existing unit. Value as per the gross block be indicated. ----- ----- ===== =====
SECTION 'C' MEANS OF FINANCE OF THE PROPOSED NEW UNIT / NEAR NEW UNIT/ EXPANSION/ DIVERSIFICATION		
16.	Means of finance Share Capital- Equity preference Rupee loans Foreign currency loan Debentures Internal Cash Accruals Other (Specify) <div style="text-align: right;">TOTAL</div>	Source/ ----- ===== =====
1) Certified that no letter of Intent of Eligibility Certificate for an of the projects / the project / unit aforesaid has been earlier obtained other than / that / those / the one(s) indicated in column No.5 of Section 'A' and that this is the first application in regard to the project / unit aforesaid.		
2) Certified that the information /statements contained in this application for Eligibility Certificate are true to the best of my/ our knowledge and belief.		
3) Declared that no Government enquiry has been instituted against the applicant and or its Proprietor / Partner / Directors for any economic offence.		
4) We hereby agree to abide by the terms and conditions of the provisional/ Final Eligibility Certificate to be issued in pursuance of the above application for Eligibility Certificate.		

5) I/We hereby agree that the provisional /Final Eligibility Certificate issued on the basis of the above statements made and information furnished is liable to be cancelled abinitio and withdrawn, if any of the statements and / or information as are found to be incorrect / untrue. All the Monetary benefits already availed of on the basis of the Eligibility Certificate so cancelled shall be recoverable as Government dues forthwith alongwith compound interest @ 15 % per annum or at such other higher rate as may be fixed by the implementing agency from time to time and no further benefits will be available to the project /unit for which the above application is made.

SIGNATURE OF THE APPLICANT

PLACE :

(Status)- Proprietor / Partner / Chairman /
Managing Director / Director.

DATE :

(This application shall be signed by any one
of the person indicated indicated above only)

LIST OF DOCUMENTS UNDER PSI-2013

Effective Steps	1	Entrepreneurs Memorandum Part-I. (already available with the implementing agency)
	2	Land Documents : MIDC land : Plot possession letter/transfer letter and registered agreement to lease/transfer of lease rights. Private Land : (a) Sale Deed, (b) latest 7/12 extract and (c) NA permission for industrial use / copy of application filed under section 44A of Maharashtra Land Revenue Code in schedule 6A where Regional Development Plan or Draft Regional Development Plan does not exists, with the concerned Revenue authority/ Zone confirmation certificate from Competent Authority.
	3	Constitution documents (partnership firms: Deed of partnership with registration certificate or acknowledgment of having applied to the Registrar of Firms; Company : Memorandum and Article of Association and registration certificate issued by Registrar of Companies; Co-operative societies: Registration certificate and copy of bye-laws).
	4	Project Report/Profile.
	5	Authorization for signing of application, execution of agreements etc.
Investment and production	1	Power sanction letter
	2	Power bill copy (one month)
	3	Sale bill copy (one month)
	4	Purchase bill copy (one month)
	5	EM II and ELP
	6	CA certificate certifying investment in Fixed Assets
	7	Chartered engineer's certificate for self fabricated machinery.(Other than micro units)
	8	Architect's certificate for cost of construction (revised format at Annexure I)
	9	Bank Loan sanction letter.
	10	Bank appraisal (to be issued by Financial Institution/bank on the request of implementing agency. DIC in case of self-financed micro units in case the unit so requests.)
Consents & permissions	1	MPCB consent to establishment.
	2	MPCB consent to operate/ acknowledgement of having filed application with MPCB together with proof of payment.
	3	Self-certification in format on Stamp paper.(Annexure ii)
	4	Building plan approval from Competent Authority
Others.	1	Agreement.
		All Xerox copies self attested